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## NOTICE OF ALLOWANCE AND FEE(S) DUE

23460

7590

10/06/2008

LEYDIG VOIT & MAYER, LTD TWO PRUDENTIAL PLAZA, SUITE 4900 180 NORTH STETSON AVENUE CHICAGO, IL 60601-6731

EXAMINER				
PAK, YONG D				
ART UNIT	PAPER NUMBER			
1652				

DATE MAILED: 10/06/2008

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/570,904	06/06/2006	Seiji Takeshima	251134	1646

TITLE OF INVENTION: MODIFIED PYRROLOQUINOLINE QUINONE (PQQ) DEPENDENT GLUCOSE DEHYDROGENASE EXCELLENT IN SUBSTRATE SPECIFICITY

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	01/06/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

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#### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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(571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 23460 7590 10/06/2008 Certificate of Mailing or Transmission LEYDIG VOIT & MAYER, LTD I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. TWO PRUDENTIAL PLAZA, SUITE 4900 180 NORTH STETSON AVENUE CHICAGO, IL 60601-6731 (Depositor's name (Signature (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 10/570,904 06/06/2006 Seiji Takeshima 251134 1646 TITLE OF INVENTION: MODIFIED PYRROLOQUINOLINE QUINONE (PQQ) DEPENDENT GLUCOSE DEHYDROGENASE EXCELLENT IN SUBSTRATE SPECIFICITY APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1510 \$300 \$0 \$1810 01/06/2009 **EXAMINER** ART UNIT CLASS-SUBCLASS PAK, YONG D 1652 435-190000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_\_ (enclose an extra copy of this fo Advance Order - # of Copies \_ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No. This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/570,904	06/06/2006	Seiji Takeshima	251134	1646
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LEYDIG VOIT	& MAYER, LTD	PAK, YONG D		
	AL PLAZA, SUITE 49	ART UNIT	PAPER NUMBER	
180 NORTH STETSON AVENUE CHICAGO, IL 60601-6731			1652 DATE MAILED: 10/06/200	8

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 58 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 58 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)
	10/570,904	TAKESHIMA ET AL.
Notice of Allowability	Examiner	Art Unit
	YONG D. PAK	1652
	YONG D. PAK	1652
The MAILING DATE of this communication apperature All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED or other appropriate comm IGHTS. This application is	in this application. If not included nunication will be mailed in due course. <b>THIS</b>
1. $\boxtimes$ This communication is responsive to <u>the amendment filed</u>	on July 24, 2008.	
2. The allowed claim(s) is/are 1,2 and 5-11.		
3. ☑ Acknowledgment is made of a claim for foreign priority unallocation a) ☑ All b) ☐ Some* c) ☐ None of the:  1. ☐ Certified copies of the priority documents have	.,,	) or (f).
Certified copies of the priority documents have		ion No
Some of the certified copies of the priority do	• • • • • • • • • • • • • • • • • • • •	<del></del>
International Bureau (PCT Rule 17.2(a)).	ouriento nave been receiv	od in the national stage application from the
* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		le a reply complying with the requirements
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give		
5. CORRECTED DRAWINGS ( as "replacement sheets") must	st be submitted.	
(a) ☐ including changes required by the Notice of Draftspers	son's Patent Drawing Revi	ew ( PTO-948) attached
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date	·	
<ul><li>(b) ☐ including changes required by the attached Examiner'</li><li>Paper No./Mail Date</li></ul>	s Amendment / Comment	or in the Office action of
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t		
6. DEPOSIT OF and/or INFORMATION about the depo attached Examiner's comment regarding REQUIREMENT	osit of BIOLOGICAL MA FOR THE DEPOSIT OF E	TERIAL must be submitted. Note the IOLOGICAL MATERIAL.
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5. □ Notice of	Informal Patent Application
2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)		Summary (PTO-413),
	Paper No	o./Mail Date
<ol> <li>Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date <u>7/24/08</u></li> </ol>	7. ∐ Examiner	s Amendment/Comment
Examiner's Comment Regarding Requirement for Deposit of Biological Material	8. 🛛 Examiner	s Statement of Reasons for Allowance
<u>-</u>	9. 🗌 Other	<u>_</u> .
/Yong D Pak/		
Examiner, Art Unit 1652		

#### **DETAILED ACTION**

This application is a 371 of PCT/JP04/12508.

The amendment filed on July 24, 2008, amending claims 1-2 and canceling claims 3-4 and 12-18, has been entered.

Claims 1-2 and 5-11 are pending. Claims 5-11 are withdrawn. Claims 1-2 are under consideration.

#### Election/Restrictions

Claims 1-2 are directed to an allowable product. Pursuant to the procedures set forth in MPEP § 821.04, claims 5-11, directed to a nonelected product claim that requires all the limitations of the allowable product claim and nonelected process claim that requires all the limitations of the allowable product, previously withdrawn from consideration as a result of a restriction requirement, are hereby rejoined and fully examined for patentability under 37 CFR 1.104.

Because a claimed invention previously withdrawn from consideration under 37 CFR 1.142 has been rejoined, the restriction requirement among groups I and groups III-V as set forth in the Office action mailed on December 26, 2007 is hereby withdrawn. In view of the withdrawal of the restriction requirement as to the rejoined inventions, applicant(s) are advised that if any claim presented in a continuation or divisional application is anticipated by, or includes all the limitations of, a claim that is allowable in the present application, such claim may be subject to provisional statutory and/or nonstatutory double patenting rejections over the claims of

the instant application. Once the restriction requirement is withdrawn, the provisions of 35 U.S.C. 121 are no longer applicable. See *In re Ziegler*, 443 F.2d 1211, 1215, 170 USPQ 129, 131-32 (CCPA 1971). See also MPEP § 804.01.

#### Information Disclosure Statement

The information disclosure statement (IDS) submitted on July 24, 2008 is in compliance with the provisions of 37 CFR 1.97. Accordingly, the information disclosure statement is being considered by the examiner

### Response to Arguments

Applicant's amendment and arguments filed on July 24, 2008, have been fully considered and are deemed to be persuasive to overcome some of the rejections previously applied. Rejections and/or objections not reiterated from previous office actions are hereby withdrawn.

## Claim Objections

In view of the cancellation of claim 4, the objection to claim 4 has been withdrawn.

## Claim Rejections - 35 USC § 101

In view of the amendment/cancellation of claims 1-2 and 4, the rejection of claims 1-2 and 4 under 35 U.S.C. 101, as being drawn to non-statutory subject matter has been withdrawn.

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## Claim Rejections - 35 USC § 112 – 2<sup>nd</sup> paragraph

In view of the amendment/cancellation of claims 1-2 and 4, the rejection of claims 1-2 and 4 under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention has been **withdrawn**.

# Claim Rejections - 35 USC § 112- 1st paragraph

In view of the amendment/cancellation of claims 1-2 and 4, the rejection of claims 1-2 and 4 under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement, has been **withdrawn**.

In view of the amendment/cancellation of claims 1-2 and 4, the rejection of claims 1-2 and 4 under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement, has been **withdrawn**.

### Claim Rejections - 35 USC § 102

Claims 1-2 and 4 were rejected under 35 U.S.C. 102(b) as being anticipated by Sode et al. (US Patent No. 6,103,509) and Takeshima et al.

In view of the amendment/cancellation of claims 1-2 and 4, the rejections have been withdrawn.

#### Terminal Disclaimer

The terminal disclaimer filed on September 16, 2008 disclaiming the terminal portion of any patent granted on this application which would extend beyond the expiration date of 10/445,789 has been reviewed and is accepted. The terminal disclaimer has been recorded.

## Allowable Subject Matter

Claims 1-2 and 5-11 are allowed.

#### Reasons for Allowance

The following is an Examiner's statement for allowance. The Examiner has found no teaching or suggestion in the prior art directed to a modified PQQGDH consisting of the amino acid sequence of SEQ ID NO:1 except that the glutamine at position 168 and the leucine at position 169 are substituted with another amino acid. Therefore, claims 1-2 and 5-11 are allowable over the prior art.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Yong Pak whose telephone number is 571-272-0935.

Application/Control Number: 10/570,904

Art Unit: 1652

The examiner can normally be reached 6:30 A.M. to 5:00 P.M. Monday through Thursday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nashaat Nashed can be reached on 571-272-0934. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 571-272-1600.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll free).

/Yong D Pak/ Primary Examiner, Art Unit 1652